

Identification

REGION 1>VHA>VISN
19>Salt Lake
City>HCS>PIA>PBX
029-00-02-00-01-1120-00

OMB Unique System / Application / Program
Identifier (AKA: UPID #):

VASLCHCS uses the PBX to support telecommunications necessary to conduct day-to-day operations within the Veterans Health

Description of System / Application / Program: Administration (VHA).

VA Salt Lake City health

Facility Name:	Care System
Title:	Name:
Privacy Officer:	Frankie Marks
Information Security Officer:	Carl Worstell
Chief Information Officer:	Lisa Leonelli
Person Completing Document:	Carl Worstell
Other Titles:	
Other Titles:	
Date of Last PIA Approved by VACO Privacy Services: (MM/YYYY)	08/2008
Date Approval To Operate Expires:	08/2011
What specific legal authorities authorize this program or system:	Title 38 US Code, Section 7301

What is the expected number of individuals that will have their PII stored in this system:

The PBX system does not store any PII.

Identify what stage the System / Application / Program is at:

The approximate date (MM/YYYY) the system will be operational (if in the Design or

Development stage), or the approximate number of years the system/application/program has been in operation.

Is there an authorized change control process which documents any changes to existing applications or systems?

Yes

If No, please explain:

Yes

Has a PIA been completed within the last three years?

Date of Report (MM/YYYY): 12/2009

Please check the appropriate boxes and continue to the next TAB and complete the remaining questions on this form.

- Have any changes been made to the system since the last PIA?
- Is this a PIV system/application/program collecting PII data from Federal employees, contractors, or others performing work for the VA?
- Will this system/application/program retrieve information on the basis of name, unique identifier, symbol, or other PII data?
- Does this system/application/program collect, store or disseminate PII/PHI data?
- Does this system/application/program collect, store or disseminate the SSN?

If there is no Personally Identifiable

Information on your system , please skip to

TAB 12. (See Comment for Definition of PII)

(FY 2010) PIA: System of Records

Is the data maintained under one or more approved System(s) of Records?

if the answer above is no, please skip to row 16.

For each applicable System(s) of Records, list:

1. All System of Record Identifier(s) (number):
2. Name of the System of Records:
3. Location where the specific applicable System of Records Notice may be accessed (include the URL):

Have you read, and will the application, system, or program comply with, all data management practices in the System of Records Notice(s)?

Does the System of Records Notice require modification or updating?

(Please Select Yes/No)

Is PII collected by paper methods?

Is PII collected by verbal methods?

Is PII collected by automated methods?

Is a Privacy notice provided?

Proximity and Timing: Is the privacy notice provided at the time of data collection?

Purpose: Does the privacy notice describe the principal purpose(s) for which the information will be used?

Authority: Does the privacy notice specify the effects of providing information on a voluntary basis?

Disclosures: Does the privacy notice specify routine use(s) that may be made of the information?

(FY 2010) PIA: Notice

Please fill in each column for the data types selected.

Data Type	Collection Method	What will the subjects be told about the information collection?	How is this message conveyed to them?	How is a privacy notice provided?
Veteran or Primary Subject's Personal Contact Information (name, address, telephone, etc)				
Family Relation (spouse, children, parents, grandparents, etc)				
Service Information				
Medical Information				
Criminal Record Information				
Guardian Information				
Education Information				
Benefit Information				
Other (Explain)				

Data Type	Is Data Type Stored on your system?	Source (If requested, identify the specific file, entity and/or name of agency)	Is data collection Mandatory or Voluntary?	Additional Comments
Veteran or Primary Subject's Personal Contact Information (name, address, telephone, etc)				
Family Relation (spouse, children, parents, grandparents, etc)				
Service Information				
Medical Information				
Criminal Record Information				
Guardian Information				
Education Information				
Benefit Information				
Other (Explain)				

(FY 2010) PIA: Data Sharing

Organization	Name of Agency/Organization	Do they access this system?	Identify the type of Data Sharing and its purpose.	Is PII or PHI Shared?	What is the procedure you reference for the release of information?
Internal Sharing: VA Organization					
Other Veteran Organization					
Other Federal Government Agency					
State Government Agency					
Local Government Agency					
Research Entity					
Other Project / System					
Other Project / System					
Other Project / System					

(FY 2010) PIA: Access to Records

Does the system gather information from another system?

Please enter the name of the system:

Per responses in Tab 4, does the system gather information from an individual?

If information is gathered from an individual, is the information provided:

- Through a Written Request
- Submitted in Person
- Online via Electronic Form

Is there a contingency plan in place to process information when the system is down?

(FY 2010) PIA: Secondary Use

Will PII data be included with any secondary use request?

- Drug/Alcohol Counseling
- Mental Health
- HIV
- Research
- Sickle Cell
- Other (Please Explain)

If yes, please check all that apply:

Describe process for authorizing access to this data.

Answer:

(FY 2010) PIA: Program Level Questions

Does this PIA form contain any sensitive information that could cause harm to the Department of Veterans Affairs or any party if disclosed to the public?

If Yes, Please Specify:

Explain how collected data are limited to required elements:

Answer:

How is data checked for completeness?

Answer:

What steps or procedures are taken to ensure the data remains current and not out of date?

Answer:

How is new data verified for relevance, authenticity and accuracy?

Answer:

Additional Information: (Provide any necessary clarifying information or additional explanation for this section.)

Answer:

(FY 2010) PIA: Retention & Disposal

What is the data retention period?

Answer:

Explain why the information is needed for the indicated retention period?

Answer:

What are the procedures for eliminating data at the end of the retention period?

Answer:

Where are these procedures documented?

Answer:

How are data retention procedures enforced?

Answer:

Has the retention schedule been approved by the National Archives and Records Administration (NARA)

Additional Information: (Provide any necessary clarifying information or additional explanation for this section.)

Answer:

(FY 2010) PIA: Children's Online Privacy Protection Act (COPPA)

Will information be collected through the internet from children under age 13?

If Yes, How will parental or guardian approval be obtained?

Answer:

(FY 2010) PIA: Security

Is the system/application/program following IT security Requirements and procedures required by federal law and policy to ensure that information is appropriately secured.

Has the system/application/program conducted a risk assessment, identified appropriate security controls to protect against that risk, and implemented those controls..

Is security monitoring conducted on at least a quarterly basis to ensure that controls continue to work properly,

safeguarding the information? Is security testing conducted on at least a quarterly basis to ensure that controls continue to work properly, safeguarding the information?

Are performance evaluations conducted on at least a quarterly basic to ensure that controls continue to work properly, safeguarding the information?

If 'No' to any of the 3 questions above, please describe why:

Answer:

Is adequate physical security in place to protect against unauthorized access?

Answer:

If 'No' please describe why:

Answer:

Explain how the project meets IT security requirements and procedures required by federal law.

Answer:

Explain what security risks were identified in the security assessment? (Check all that apply)

- Air Conditioning Failure
- Chemical/Biochemical Contamination
- Blackmail
- Bomb Threats
- Cold/Frost/Snow
- Communications Loss
- Computer Intrusion
- Data Destruction
- Data Disclosure
- Data Integrity Loss
- Denial of Service Attacks
- Earthquakes
- Eavesdropping/Interception
- Fire (False Alarm, Major, and Minor)
- Flooding/Water Damage
- Hardware Failure
- Malicious Code
- Computer Misuse
- Power Loss
- Sabotage/Terrorism
- Storms/Hurricanes
- Substance Abuse
- Theft of Assets
- Theft of Data
- Vandalism/Victoring
- Errors (Configuration and Data Entry)
- Burglary/Break In/Robbery
- Identity Theft
- Fraud/Embezzlement

Answer: (Other Risks)

Explain what security controls are being used to mitigate these risks. (Check all that apply)

- Risk Management
- Access Control
- Awareness and Training
- Confidentiality Planning
- Physical and Environmental Protection
- Personnel Security
- Certification and Accreditation Security Assessments
- Audit and Accountability
- Configuration Management
- Identification and Authentication
- Incident Response
- Media Protection

Answer: (Other Controls)

PIA: PIA Assessment

Identify what choices were made regarding the project/system or collection of information as a result of performing the PIA.

Answer:

Availability Assessment: If the data being collected is not available to process for any reason what will the potential impact be upon the system or organization?

[Choose One]

- The potential impact is **high** if the loss of availability could be expected to have a severe or catastrophic adverse effect on operations, assets or individuals.

- The potential impact is **moderate** if the loss of availability could be expected to have a serious adverse effect on operations, assets or individuals.
- The potential impact is **low** if the loss of availability could be expected to have a limited adverse effect on operations, assets or individuals.

Integrity Assessment: If the data being collected has been corrupted for any reason what will the potential impact be upon the system or organization?

[Choose One]

- The potential impact is **high** if the loss of availability could be expected to have a severe or catastrophic adverse effect on operations, assets or individuals.

Confidentiality Assessment: If the data being collected has been shared with unauthorized individuals what will the potential impact be upon the system or organization?

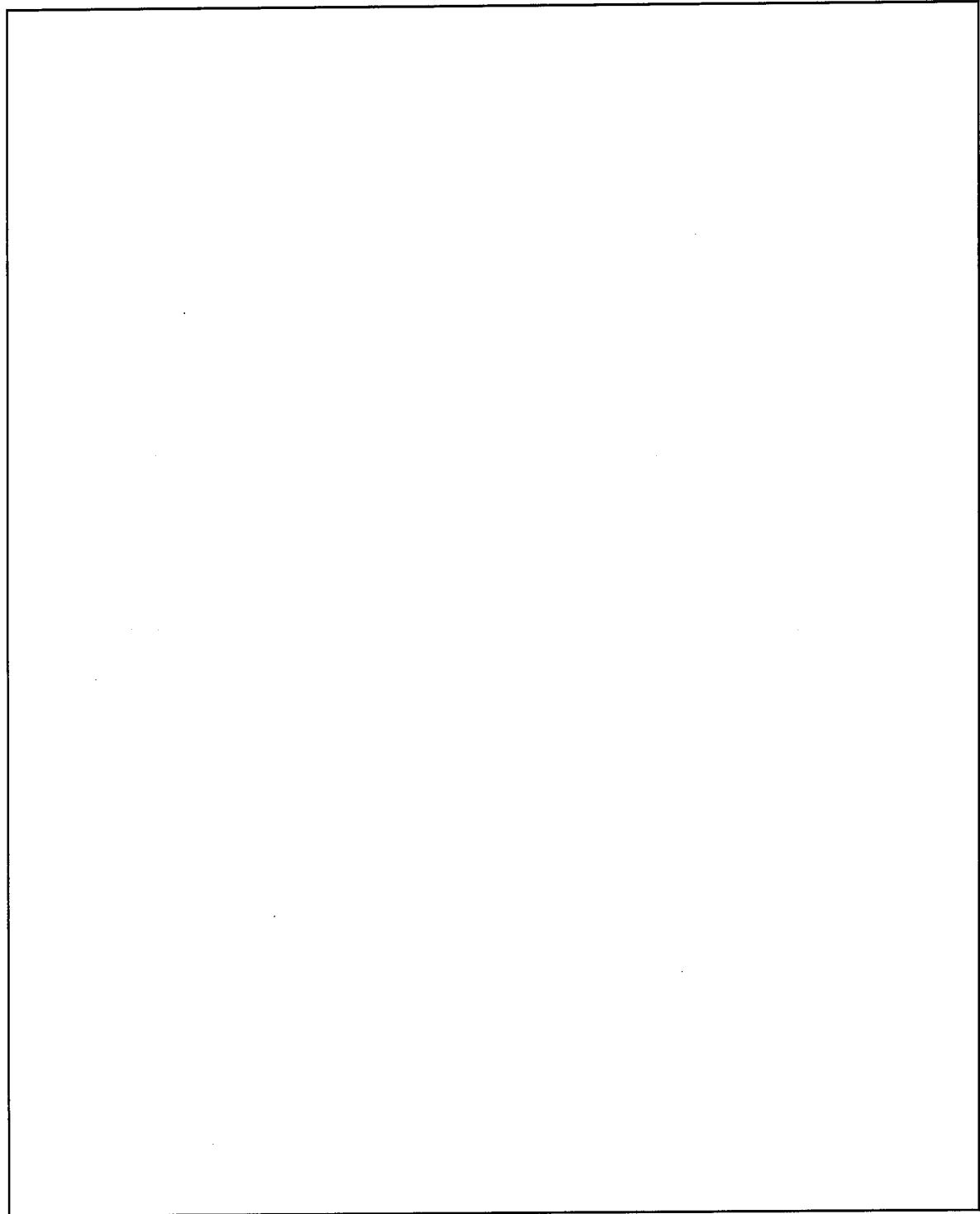
[Choose One]

- The potential impact is **moderate** if the loss of availability could be expected to have a serious adverse effect on operations, assets or individuals.
- The potential impact is **low** if the loss of availability could be expected to have a limited adverse effect on operations, assets or individuals.

The controls are being considered for the project based on the selections from the previous assessments?

FALSE

Please add additional controls:



Add any additional comments on this tab for any question in the form you want to comment on.
Please indicate the question you are responding to and then add your comments.

(FY 2010) PLIA: Additional Comments

Records Locator Training Website	Education Training Website	Appraisal System	Veterans Assistance Discharge System (VADS)	Explain what minor application that are associated with your installation? (Check all that apply)
LGY Processing	VETS Training Website	VA Reserve Education Program	VA Online Certification of	LGY Home Loans
LGY Processing	VETS Training Website	CONDO PUD Builder	Electronic Appraisal Tracking System	LGY Service and Claims
LGY Processing	VA Reserve Education Program	ERJOMIET Automated Verification of	VA Online Certification of	RIGHT NOW Web
LGY Processing	VA Reserve Education Program	ERJOMIET Automated Verification of	RIGHT NOW Web	RIGHT LGY
Search Participant Profile (SPP)	Errolmet VAO-NCE	Web LGY	VA Online Certification of	VA Online Certification of
Search Participant Profile (SPP)	Errolmet VAO-NCE	Web LGY	Electronic Appraisal	Electronic Appraisal System
SHARE	SHASHA	Access Manager	Access Manager	SHARE
SHARE	SHASHA	Personal Computer Generated Letters (PCGL)	Personal Computer Generated Letters (PCGL)	Modern Awards Process Development System (MAP-D)
SHARE	SHASHA	Personnel Information Exchange	Personnel Information Exchange	Rating Board Automation 2000
SHARE	SHASHA	VBA Data Warehouse	VBA Data Warehouse	(RBA2000)
SHARE	SHASHA	Distribution of Operational Resources (DOOR)	Distribution of Operational Resources (DOOR)	(AMIS)290
Financial and Accounting System (FAS)	LGY Centralized Fax System	LGY Centralized Fax System	Review of Quality (ROQ)	Eligibility Verification Report (EV-R)
Financial and Accounting System (FAS)	LGY Centralized Fax System	LGY Centralized Fax System	Review of Quality (ROQ)	Automated Standardized Performance System (AMIS)
Web Automated Reference Material	Automated Medical Information	Automated Medical Information	Review of Quality (ROQ)	Elements Nationwide (ASPEN)
Web Automated Reference Material	Common Security User Manager	Common Security User Manager	Review of Quality (ROQ)	Automated Standardized Performance
Web Automated Reference Material	Financial Management	Financial Management	Review of Quality (ROQ)	Financial Promises (COVFC)
Web Automated Reference Material	Information System (FMI)	Information System (FMI)	Review of Quality (ROQ)	Electronic Payment (EPD)
National Silent Monitoring (NSM)	Purchase Order Management System (POMS)	Purchase Order Management System (POMS)	Review of Quality (ROQ)	Exchanges II (ALME II)
National Silent Monitoring (NSM)	Record Interchange (CAPRI)	Record Interchange (CAPRI)	Review of Quality (ROQ)	Electronic Card System (ECS)
National Silent Monitoring (NSM)	Web Service Medical Records	Web Service Medical Records	Review of Quality (ROQ)	Automated Standardized Performance
National Silent Monitoring (NSM)	COMPENSATION AND PENSSION (C&P)	COMPENSATION AND PENSSION (C&P)	Review of Quality (ROQ)	Automated Standardized Performance
Systematic Technical Accuracy Review (STAR)	Veterans Center Web	Veterans Center Web	Review of Quality (ROQ)	Information System (FMS)
Systematic Technical Accuracy Review (STAR)	Corporate Work, Headquarters, Satellite (COPROSAT)	Corporate Work, Headquarters, Satellite (COPROSAT)	Review of Quality (ROQ)	Fiduciary Beneficiary System (FBS)
Systematic Technical Accuracy Review (STAR)	Veterans Center Web	Veterans Center Web	Review of Quality (ROQ)	Fiduciary Case Review
Systematic Technical Accuracy Review (STAR)	ASSISTS	ASSISTS	Review of Quality (ROQ)	Veterans Case Review
Systematic Technical Accuracy Review (STAR)	ASSISTS	ASSISTS	Review of Quality (ROQ)	Web Automated Folder Processing (VERIS)
Systematic Technical Accuracy Review (STAR)	ASSISTS	ASSISTS	Review of Quality (ROQ)	Veterans Service Representative (VSR)
Systematic Technical Accuracy Review (STAR)	VIC	VIC	Review of Quality (ROQ)	Adviser
Systematic Technical Accuracy Review (STAR)	Veterans Self Service	Veterans Self Service	Review of Quality (ROQ)	Loan Guaranty Training Website
Systematic Technical Accuracy Review (STAR)	BCMIA Contingency Machines	BCMIA Contingency Machines	Review of Quality (ROQ)	C&P Training Website
Systematic Technical Accuracy Review (STAR)	Inurance Online	Inurance Online	Review of Quality (ROQ)	Inurance Online
Systematic Technical Accuracy Review (STAR)	Script Pro	Script Pro	Review of Quality (ROQ)	Script Pro

(FY 2010) PIA: VBA Minor Applications

	Name		
	Description		
	Comments		
<input type="checkbox"/> Is PII collected by this min or application? <input type="checkbox"/> Does this minor application store PII? <input type="checkbox"/> If yes, where? <input type="checkbox"/> Who has access to this detail?			
Minor app #3			

	Name		
	Description		
	Comments		
<input type="checkbox"/> Is PII collected by this min or application? <input type="checkbox"/> Does this minor application store PII? <input type="checkbox"/> If yes, where? <input type="checkbox"/> Who has access to this detail?			
Minor app #2			

	Name		
	Description		
	Comments		
<input type="checkbox"/> Is PII collected by this min or application? <input type="checkbox"/> Does this minor application store PII? <input type="checkbox"/> If yes, where? <input type="checkbox"/> Who has access to this detail?			
Minor app #1			

Explain any minor application that are associated with your installation that does not appear in the list above. Please provide name, brief description, and any comments you may wish to include.

Veterans Assistance Discharge System	(VADS)	Baker System
Dental Records Manager	VBA Training Academy	Veterans Assistance Discharge System
PRIV Plus	Seattle (WINRS)	Veterans Service Network (VETNET)
Mental Health Assistant	BIRLS	Waco, Indianapolis, Newark, Roanoke,
Telecare Record Manager	CARIS	Centralized Accounts Receivable System
Omnicell	Compenesation & Pension (C&P)	Compensation & Pension (C&P)
Powerscribe Dictation System	Corporate Database	Endosoft
Endosoft	Control of Veterans Records (COVERS)	Compensation and Pension (C&P)
Montgomery GI Bill	INS - BIRLS	Montgomery GI Bill
Vocational Rehabilitation & Employment (VRAE)	Mobilization	Program Vets
Program for Survivors (REAPS)	Master Veterans Record (MVR)	Relatives and Dependents
Reserve Educational Assistance	Program CH 1606	Educational Assistance CH 35
Program CH 1607	Members of the Selected Reserve	Survivors and Dependents
Compensation Training	Program CH 1606	Program Vets
Website	Program CH 1606	Program Vets
FOCAS	WEAMS	Management System (WEAMS)
Work Study Management System	(WSMS)	Web-Enabled Approval
Benefits Delivery Network (BDN)	Personal Information Exchange	Benefits Delivery Network (BDN)
Personnel and Accounting	(PAID)	Integrated Data and Fee Basis
Rating Board Automation 2000	(RBA2000)	Service Member Records Tracking System
System (PIES)	System	SHARE

APD PLANNING (PLANMAN)	DSS EXTRACTS	DRUG ACCOUNTABILITY	INPATIENT MEDICATIONS
Explain what minor application that are associated with your installation? (Check all that apply)			
ASISTS	EEO COMPLAINT TRACKING	INTEGRATED PATIENT FUNDS	INTAKE/OUTPUT
AUTHORIZATIONS/SUBSCRIPTION	ELECTRONIC SIGNATURE	INTERIM MANAGEMENT	SUPPORT
AUTO REPLENISHMENT/WARD STOCK	ENGINEERING	KERNEL	KIDS
AUTOMATED INFO COLLECTION SYS	ENROLLMENT APPLICATION	EVENT DRIVEN REPORTING	LEXICON UTILITY
AUTOMATED LAB INSTRUMENTS	SYSTEM	FEES BASIS	MAILMAN
CAPRI	FUNCTIONAL	EXTERNAL PEER REVIEW	LIST MANAGER
CAPACITY MANAGEMENT TOOLS	INDEPENDENCE	GEN. MED. REC. - I/O	MCR NATIONAL
CARE MANAGEMENT	MASTER PATIENT INDEX	GEN. MED. REC. - GENERATOR	VISTA
CLINICAL CASE REGISTRIES	DATA BASE	GEN. MED. REC. - I/O	MENTAL HEALTH
CLINICAL MONITORING SYSTEM	MINIMAL PATIENT	GENERIC CODE SHEET	MICOM
CLINICAL RESOURCE NETWORK	GRECC	HEALTH SUMMARY	NATIONAL DRUG FILE
CLINICAL PROCEDURES	HEALTH DATA & INFORMATION	HEALTH LEVEL SEVEN	AAEL
CLINICAL REMINDERS	DATA SET	MISSING Patient Reg (Oliginal)	CMOP
CONSULT/REQUEST TRACKING	HINQ	NATIONAL LABORATORY	TEST
CONTROLED SUBSTANCES	HOSPITAL BASED HOME	NATIONAL DRUG FILE	NDBI
CPT/HCP CS CODES	CARE	NETWORK HEALTH	NOIS
CREDENTIALS TRACKING	ICR - IMMUNOLOGY CASE	EXCHANGE	DETERGENTS
DENTAL	REGISTRY	IMAGE	IMAGING
DISCHARGE SUMMARY	ONCOLOGY	INCIDENT REPORTING	INCIDENT REPORTING
DRG GROUPER	MATCH	INCOMPLETE RECORDS	ORDER ENTRY/RESULTS
DRUGS	REPORTING	REPORTING	REPORTING

(FY 2010) PIA: VISTA Minor Applications

Name		
Description		
Comments		
<input type="checkbox"/> Does this minor application store PII? <input type="checkbox"/> If yes, where? <input type="checkbox"/> Who has access to this data?		
<input type="checkbox"/> Is PII collected by this min or application? <input type="checkbox"/>		

Minor app #3

Name		
Description		
Comments		
<input type="checkbox"/> Does this minor application store PII? <input type="checkbox"/> If yes, where? <input type="checkbox"/> Who has access to this data?		
<input type="checkbox"/> Is PII collected by this min or application? <input type="checkbox"/>		

Minor app #2

Name		
Description		
Comments		
<input type="checkbox"/> Does this minor application store PII? <input type="checkbox"/> If yes, where? <input type="checkbox"/> Who has access to this data?		
<input type="checkbox"/> Is PII collected by this min or application? <input type="checkbox"/>		

Minor app #1

Explain any minor application that are associated with your installation that does not appear in the list above. Please provide name, brief description, and any comments you may wish to include.

OUTPATIENT PHARMACY	SOCIAL WORK
PAD	SPINAL CORD DYSFUNCTION
PATCH MODULE	SURGERY SURVEY GENERATOR
PATIENT DATA EXCHANGE	PATIENT FEEDBACK
PATIENT CARE	PATIENT REPRESENTATIVE
ENCOUNTERS	PCP PATIENT/IHS SUBSET
UTILIZATION MANAGEMENT	UTILIZATION MANAGEMENT ROLLUP
PHARMACY BENEFITS	UTILIZATION REVIEW
MANAGEMENT	VIA CERTIFIED COMPONENTS - DSSI
PHARMACY NATIONAL	VA FILEMAN
DATA BASE	VBECs
PHARMACY PRESCRIPTION	VDEF
PRACTICE	PROGRESS NOTES
PROBLEMS	VENODR - DOCUMENT STORAGE SYS
PROSTHETICS	VISIT TRACKING
QUALITY ASSURANCE	VISITLINK
INTEGRATION	VISITLINK SECURITY
QUALITY IMPROVEMENT	CHECKLIST
RADIOLOGY/NUCLEAR	QUASAR
ANR	VISUAL IMPAIRMENT SERVICE TEAM
VOLUNTARY TIMEKEEPING	ANRV
MEDICINE	VOLUNTARY TIMEKEEPING NATIONAL
RECORD TRACKING	VOLUNTARY TIMEKEEPING NATIONAL
REGISTRATION	WOMEN'S HEALTH
RELEASE OF INFORMATION - DSSI	CARE TRACKER
REMOTE ORDER/ENTRY	RPC BROKER
RUN TIME LIBRARY	SYSTEM
SAGG	SCHEDULING
SHIFT CHANGE HANDOFF	TOOL

Add any information concerning minor applications that may be associated with your system. Please indicate the name of the minor application, a brief description, and any comments you may wish to include. If you have more than 3 minor applications please copy the below sections as many as needed.

(FY 2010) PIA: Minor Applications

Minor app #1

Does this minor application store PI?

If yes, where?

Who has access to this data?

Is PI collected by this min or application?

Name

Comments

Description

Comments

Minor app #2

Does this minor application store PI?

If yes, where?

Who has access to this data?

Is PI collected by this min or application?

Name

Comments

Description

Comments

Minor app #3

Does this minor application store PI?

If yes, where?

Who has access to this data?

Is PI collected by this min or application?

Name

Comments

Description

Comments

(FY 2010) PIA: Final Signatures

Facility Name:

VA Salt Lake City health Care System

Title	Name	Phone	Email
Privacy Officer:	Frankie Marks	801-582-1565	frances.marks@va.gov

Frances Marks

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Carl Worstell

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Lisa Leonelli

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Carl Worstell

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Shirley Falck

Date of Report:

12/1/2009

OMB Unique Project Identifier

029-00-02-00-01-1120-00

Project Name

REGION 1>VHA>VISN 19>Salt Lake
City>HCS>PIA>PBX